#6

Express Mail Label No. EV627259166US Dated: September 23, 2005

Docket No.: REGIM 3.3-040

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Mugnier et al.

Application No.: 10/511,565

Group Art Unit: N/A

Filing Date: October 15, 2004

Examiner: Not Yet

Assigned

For: METHOD FOR PRODUCTION OF A

TUNABLE OPTICAL FILTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed Form PTO-1449 be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference is enclosed. These references were cited in the background section of the present specification and/or a corresponding International Search Report, a copy of which is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: September 23, 2005

Respectfully submitted,

Orville R Cockings

Registration No.: 42,424 LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant

LD-460\





PTO/SB/08a/b (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sub	Substitute for form 1449A/B/PTO			Complete if Known		
				Application Number	10/511,565	
11	IFORMATIC	ON DISC	CLOSURE	Filing Date	October 15, 2004	
S	STATEMENT BY APPLICANT (Use as many sheets as necessary)			First Named Inventor	Alain Mugnier	
				Art Unit	N/A	
				Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	REGIM 3.3-040	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA*	US-5,420,948-A	05-30-1995	Byron		
	AB*	US-5,848,207-A	12-08-1998	Uetsuka et al.		

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,			
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶		
	ВА	EP-1 024 376-A1	08-02-2000	Lucent Technologies				
	BB	EP-1 030 472-A2 /	08-23-2000	Lucent Technologies Inc.				
	ВС	WO-00/70379-A1 /	11-23-2000	Corning Inc.		1		
	BD	WO-0 989 426-A1 /	03-29-2000	Lucent Technologies Inc.				
	BE	EP-1 098 212-A1 /	05-09-2001	JDS Uniphase Inc.		┰		
	BF	WO-99/31537-A1 /	12-15-1998	University of Southern				
	<u> </u>	,		California				
	BG	EP-0 714 861-A1 /	06-05-1996	France Telecom				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. 'Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
	CA	DELEVAQUE et al., "Optical Fiber Design For Strong Gratings Photoimprinting With Radiation				
1		Mode Suppression", OFC'95, Vol B, pp. 343-345, 1995				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

597115.1

Examiner	Date	
Signature	Considered	<u></u>

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.